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Violations of physical and psychological expectations in the human adult brain

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Abstract

When adults see one solid object pass through another, or see a person take the long route to a destination when a shortcut was available, we classify those events as surprising. Infants look infants look longer at the same unexpected outcomes, compared with visually similar but expected outcomes, in violation-of-expectation (VOE) experiments. What domain-specific and domain-general cognitive processes support these judgments? In a pre-registered experiment, we scanned 32 adults using functional magnetic resonance imaging (fMRI) while they watched videos designed for infant research. One region implicated in physical reasoning responded selectively to unexpected physical events, providing evidence for domain-specific physical prediction error. Multiple demand regions responded more to unexpected events regardless of domain, providing evidence for domain-general goal-directed attention. Early visual regions responded equally to unexpected and expected events, providing evidence against stimulus-driven prediction error. Thus, in adults, VOE involves domain-specific, and high-level, domain-general computations.

Keywords: cognitive neuroscience; cognitive development; intuitive psychology; intuitive physics

Introduction

In the first year of life, babies rapidly acquire expectations about the properties and behavior of inanimate objects, and animate agents. Like adults, they distinguish between surprising events (e.g. when a ball rolls off the edge of a table, and hovers in midair) and visually similar but unsurprising events (e.g. when the ball stops rolling before it reaches the edge of the table), looking longer at the unexpected outcome. Babies show this so-called violation-of-expectation (VOE) response towards events that adults rate as surprising (Shu et al., 2021; Smith et al. 2019). When objects float in midair (Needham & Baillargeon 1993), or appear to pass through each other (Spelke, Breinlinger, Macomber, & Jacobson, 1992), and when agents change their goals (Woodward, 1998), or act inefficiently (Gergely & Csibra 2003). However, the cognitive processes that drive longer looking in these studies are still hotly debated (Aslin 2007; Paulus 2022; Stahl & Kibbe 2022). Do babies truly have domain-specific expectations about the physical and psychological world (Carey 2009; Spelke 2023)? Are there stimulus-driven alternative explanations that could also explain these patterns of looking ( Rivera, Wakeley, & Langer 1999)? And do babies look longer because they have detected the surprising outcome, or also because they are motivated to explore and explain the source of surprise (Sim & Xu 2018; Stahl & Feigenson 2019)?

Both adults’ judgments of surprise, and infants’ looking responses, are generated from a complex set of mental processes that are opaque to measurement, making it difficult to test these alternative hypotheses using behavior alone. However, studying the neural correlates of this behavior can shed light on this question by identifying and characterizing the domain-general and domain-specific brain networks involved in processing these events. Thus in the current research, we scanned the brains of human adults while they watched events that were designed to test for physical and psychological expectations in infants. We systematically test the (not mutually-exclusive) hypotheses that domain-specific processes, like psychological and physical reasoning, and domain-general processes, like early visual processing and goal-directed attention, underlie the violation-of-expectation response by studying the responses in regions associated with each process to these events. Broadly, domain-general hypotheses (H1) predict greater neural responses to unexpected than expected events that generalize across the domains of psychology and physics. Domain-specific hypotheses (H2) predict greater responses to unexpected events in different regions, depending on the domain.

Studying adult brains, rather than infant brains, allows us to define regions involved in each hypothesized process using independent tasks, and thus gives us more confidence that the responses we measure actually correspond to the hypothesized mental processes, without needing to rely on strong reverse inference given neural activity alone. Because of the correspondence between the large-scale topography of brain networks and evoked functional responses between adults and infants, as early as they can be measured (Dehaene-Lambertz & Spelke 2015; Eyre et al. 2021), it is plausible that the insights we learn from adult brains could be used to guide studies of infants in future work. In the following sections, we motivate the evidence for each hypothesis from the developmental literature, and also discuss evidence from cognitive neuroscience about the likely neural correlates of each process.

Domain-general hypotheses

The first broad hypothesis under consideration is that surprising events from violation-of-expectation studies evoke domain-general processes. One such process is stimulus-driven prediction error (i.e. a response to the visual features...
Unexpected events may also evoke domain-general curiosity and motivation to gain information about the source of surprise (Sim & Xu, 2018; Stahl & Feigenson, 2019). After viewing an unexpected physical event, such as a ball rolling through a solid wall, babies show enhanced learning about the outcome (Stahl & Feigenson, 2015), and choose to explore that object (Sim & Xu, 2017) as though they are trying to explain the outcome (e.g. by banging the ball) (Stahl & Feigenson, 2015). In addition, longer looking in these experiments can be “explained away”: Babies look longer when a ball passes through a solid wall, rather than stopping short of the wall, but not when they first see that the wall has an archway through it, allowing the ball to pass through (Perez & Feigenbaum, 2015). From cognitive neuroscience, regions within the multiple demand (MD) network (Fedorenko, Duncan, & Kanwisher, 2013), including portions of the frontal and parietal cortices, plausibly support endogenous goal-directed attention. These regions respond with greater activity when human adults are engaged in a range of difficult vs easy tasks, regardless of the task’s modality (e.g. auditory vs visual) or content (e.g. arithmetic vs motor inhibition). Portions of the lateral frontal cortices, in human infants, respond with greater activity when they hear or see stimuli that violate a previously learned pattern (Nakano, Watanabe, Homae, & Taga, 2009; Werchan, Collins, Frank, & Amso, 2016). In adults, these regions are engaged when people consider curiosity-inducing trivia questions, watch magic tricks, and monitor the outcomes of uncertain gambles (Kang et al., 2009; Parris, Kuhn,
Domain-specific hypotheses

The second broad hypothesis under consideration is that surprising events violate distinctly physical and psychological expectations: that objects are solid and permanent entities, and that agents have goals and do not tend to change them abruptly or pursue them in unnecessarily costly ways. A strong interpretation of the developmental literature is that babies have ‘core knowledge’: an early-emerging conceptual repertoire consisting of domain-specific modules for different domains of thought, including physics, psychology, number, and space (Spelke 2022). In cognitive neuroscience, there is evidence that distinct sets of regions represent the properties and dynamics of agents and objects. A set of regions including the temporoparietal junction (TPJ), medial prefrontal cortex (MPFC), precuneus (PC), and superior temporal sulcus (STS) represents psychological information including other people’s mental states and social interactions (Deen, Koldewyn, Kanwisher, & Saxe 2015; Isik, Koldewyn, Beeler, & Kanwisher 2017; Saxe, Xiao, Kovacs, Perrett, & Kanwisher 2004; DiNicola, Braga, & Buckner 2020). A second set of regions including supplementary motor area, superior parietal cortex, and supramarginal gyrus (SMG), represents physical information including object mass and stability (Pramod, Cohen, Tenenbaum, & Kanwisher 2022; Schwettmann, Tenenbaum, & Kanwisher 2019; Fischer, Mikhail, Tenenbaum, & Kanwisher 2016). In infants, regions in the temporal and parietal cortices are similarly implicated in social and physical processing, respectively (Lloyd-Fox et al. 2009; Parroni et al. 2013; Wilcox, Haslup, & Boas 2010).

Overview of current research

In the current research, we identified regions involved in early visual processing, goal-directed attention, and physical and psychological reasoning (see Figure 2A) in individual participants using validated tasks from prior literature (Fischer et al. 2016; Fedorenko et al. 2013; Robertson et al. 2014). We then measured the responses of these regions to unexpected and expected psychological and physical videos designed for infant studies. We tested whether the responses in each region are driven by manipulations of domain (psychology versus physics), event (expected versus unexpected), or a selective interaction of these factors (e.g. unexpected versus expected, only for physical events). Based on a previous exploratory study using similar materials, we pre-registered a complete analysis plan for these data. Here we report the results of the confirmatory hypothesis tests for the univariate (i.e. average magnitude of) responses in all tested regions.

Method

Open Science Practices

The methods and analyses of this experiment were pre-registered prior to data collection at https://osf.io/8ywah. All experiment scripts, including stimuli shown to participants, as well as the data and analysis scripts required to reproduce these results, can be found at https://osf.io/na7jy/

Participants

We recruited 33 participants (Mean age = 25.7y, range 18-45; 30 right-handed; 21 identifying as female, 12 identifying as male; 19 identifying as White, 14 identifying as Black, Asian, or biracial) from the [redacted] area. One participant withdrew from the experiment without contributing usable functional data, and was excluded from our sample, leaving a final N of 32. Participants had normal or corrected-to-normal vision, and no MRI contraindications. We chose this sample size using a combination of simulation power analyses over a prior experiment of N=17, and other considerations of time and cost. All study procedures were approved by [redacted]. Participants were asked to provide written informed consent prior to participation, and were paid $30 per hour.

Localizer tasks

Social versus physical interaction (DOTSloc) The DOTSloc task (Fischer et al. 2016) reliably evokes responses in the superior temporal sulcus (STS) and supramarginal gyrus (SMG) (our a priori regions of interest for psychological and physical reasoning). Stimuli consisted of 32 unique 10-s movies of two dots moving as though they are physical objects, or as though they are interacting socially. Participants watched the dots, imagined the trajectory of one of the dots when it disappeared briefly, and indicated whether the final position of the hidden dot matches what they imagined. Each run included 19 blocks (8 physical blocks, 8 social blocks, 3 rest blocks). On social and physical blocks, participants saw two different videos from the corresponding condition. Each run lasted approximately 8.2 minutes.

Spatial working memory (spWMloc) The spWMloc task (Fedorenko et al. 2013) identifies regions in the multiple demand (MD) network, including the inferior frontal gyrus (IFG) and the insula (our regions of interest for goal-driven attention). Stimuli were rectangular 8-by-8 grids. Participants saw a sequence of grid-squares change color, either one (easy condition) or two (hard condition) at a time. They were asked to remember the locations of the changing squares over the sequence, and indicated using button press which of two alternative grids matched the resulting layout, with feedback. Each run included 20 16-second blocks (6 easy, 6 hard, and 4 rest blocks), and lasted approximately 7.5 minutes.

Motion (motionLoc) The motionLoc task (Robertson et al. 2014) identifies motion-sensitive regions (MT) (one region of interest for early visual processing). This task contrasts coherent vs random dot motion to enable identification of motion-sensitive voxels. Participants fixated on a red dot near the bottom center of the screen while a large circular space of small moving dots played above fixation. The dots either moved coherently (in uniform direction) or randomly...
around the space. Participants pressed a button whenever the red dot flickered. Each run lasted approximately 4.6 minutes.

**Primary task (VOE)**

**Stimulus design** Our violation-of-expectation (VOE) stimuli were selected from 2 large-scale procedurally generated video datasets, inspired by the infant cognition literature (Shu et al., 2021; Smith et al., 2019), and also contained 3 hand-animated scenarios. In total, there were 28 scenarios, where each scenario consisted of 3 videos: a familiarization video, an unexpected outcome video, and an expected outcome video. We assigned these videos to the physical domain or psychological domain. The 16 scenarios from the domain of physics featured inanimate objects, barriers, and rotating fans. In surprising events, solid objects passed through each other (solidity) or blipped in and out of existence (permanence) (Figure 1A). The 20 scenarios from the domain of psychology featured agents moving in physical environments, around physical obstacles, towards goal objects (Figure 1B-C), and were further divided into scenarios involving surprising actions (Figure 1B), or surprising environments (Figure 1C) in which the actions occurred. In the psychological scenarios involving surprising actions, agents changed their goals (goal), or acted inefficiently (efficiency) (Figure 1B). In the psychological scenarios involving surprising environments, agents moved through an (apparently) solid wall (agent-solidity), or moved as though they were circumventing an obstacle, which was then missing (infer-constraint).

**Experimental design** Each VOE run had an event related design: a 10s rest period, 16 trials (6 physics, 6 psychology-action, and 4 psychology-environment), and then a final 10s rest period, lasting a total period of approximately 7.0 minutes. Each trial had 4 parts: a familiarization movie (7.5s), a corresponding test movie (7.5s; either unexpected or expected), a fixation cross for a jittered duration of 4-10s, and an attention check (2s). Participants were asked to attend to the movies. During the attention check, they saw an image of an agent, object, or surface texture, and responded via button press whether that image appeared in the most recent trial. In anticipation that we may need to restrict our analysis to the first 2 runs (see Results), scenarios were split into two halves, one half assigned to runs 1-2 and the other assigned to runs 3-4. We generated 128 unique random event sequences, one per run per subject, such that every run contained 8 unexpected and expected trials apiece, and the same number of physics (6), psychology-action (6), and psychology-environment (4) trials.

**Data acquisition, pre-processing and analysis**

Data were acquired from a 3-Tesla Siemens Magnetom Prisma scanner located at the Athinoula A. Martinos Imaging Center at MIT, using a 32-channel head coil. Participants viewed stimuli through a mirror projected to a 12” x 16” screen behind the scanner, at a visual angle of approximately 14 x 19 degrees. Participants underwent 2 runs of each localizer task, and 4 runs of the VOE task, presented in an interleaved and fixed order. Neuroimaging data were pre-processed using fmrirprep (Esteban et al., 2019), and then analyzed using in-house lab scripts, described in detail at [https://osf.io/sa7jy/](https://osf.io/sa7jy/). Please see our pre-registration for details about data acquisition parameters, pre-processing, and first-, second-, and group-level analysis.

**Subject-specific functional regions of interest (ssfROI)**

The goal of our subject-specific functional regions of interest (ssfROI) analysis (Fedorenko, Hsieh, Nieto-Castañón, Whitfield-Gabrieli, & Kanwisher, 2010) is to find, in individual participants, voxels that are maximally engaged during processing of low-level visual features and visual motion (motionLoc), goal-directed attention (spWMloc), and social and physical processing (DOTSloc) while allowing the precise voxels selected to vary somewhat across people, to fit their unique neuroanatomy and function. For each localizer task, for each participant, we selected the top 100 voxels (i.e., those with the highest z values for the corresponding contrasts listed in Figure 2B) from each participant’s second-level map, within functional search spaces (Figure 2A) derived from previous work (multiple demand parcels from [https://evlab.mit.edu/funcloc/](https://evlab.mit.edu/funcloc/)) V1 and MT parcels from [Pramod et al., 2022] and domain-specific parcels from our prior experiment).

Then, given these regions of interest (ROIs) for each subject, we measured the response of these voxels to all conditions from our primary VOE task (i.e. that condition > rest) for each of the 4 runs. For our confirmatory analysis, we focused on the unexpected and expected test events from physical scenarios, and psychological scenarios involving surprising actions (Figure 1A-B). In each region, we modeled responses predicted by domain (psychology vs physics), event (unexpected vs expected), and, for domain-specific regions, an interaction between them. In further exploratory analyses, we studied the responses of these regions to psychological violations that involved surprising environments (psychology-environment scenarios; Figure 1C).

**Results**

Following our analysis plan, we conducted a manipulation check, asking whether the VOE effect (unexpected > expected) declined across runs. Indeed, folding across all regions for which we predicted a VOE effect, the average VOE effect was more evident in runs 1 (p = 0.07) and 2 (p = 0.04) than in runs 3 (p = 0.74) or 4 (p = 0.69). Thus we followed our plan to restrict all subsequent confirmatory analyses to the first two runs. We used linear mixed effects models implemented in lme4 (Bates, Mächler, Bolker, & Walker, 2015), and modeled the average response per region as predicted by a main effect of domain, a main effect of event, and (for domain-specific regions) the interaction across them. We used the lsmeans package (Lenth, 2016) to return pairwise estimates from interactions. Model formula: $\text{meanbeta} \sim$
Figure 2: Results of subject-specific functional regions of interest (ssfROI) analysis. (A) Parcels for all focal regions of interest. (B) ssfROI results in domain-general multiple demand and early visual regions (bottom row; bilateral anterior parietal cortex (APC), right frontal cortex (RFC), bilateral primary visual cortex (V1) and bilateral motion sensitive area (MT)), and domain-specific regions (top row: left and right superior temporal sulcus (STS), left and right supramarginal gyrus (SMG)). Y axis indicates the average beta (i.e. magnitude of response) per region, relative to fixation, across \( N = 32 \) participants. Error bars indicate standard error of the mean, taking into account within-subjects variance.

\[ \text{domain} \times \text{event} + (1|\text{subjectID}). \]

Full regression tables for all analyses are available at [https://osf.io/sa7jy/](https://osf.io/sa7jy/) Our significance threshold for these analyses was \( p < .025 \), two-tailed, corrected for the number of regions (2) per hypothesis.

**Confirmatory results: Physics and Psychology-Action events**

Our **early visual** regions of interest were bilateral primary visual area (V1) and bilateral motion sensitive area (MT). Both regions responded more to physical than psychological events (V1: unstandardized coefficient (B) = 0.58 95% confidence interval (CI) [0.31, 0.84], \( p < .001 \); MT: B = 0.73 [0.59, 0.86], \( p < .001 \)), but did not respond differently to unexpected and expected versions of these events (V1: B = -0.09 [-0.36, 0.17], \( p = .491 \); MT: B = -0.05 [-0.19, 0.08], \( p = .427 \)).

Our **multiple demand** regions of interest were bilateral anterior parietal cortex (APC) and right frontal cortex (RFC). Both regions responded more responded more to physical than psychological events (APC: B = 0.60 [0.40, 0.80], \( p < .001 \); RFC: B = 0.60 [0.41, 0.78], \( p < .001 \)), and responded more to unexpected events, regardless of domain (APC: B = -0.23 [-0.44, -0.03], \( p = .024 \); RFC: B = -0.29 [-0.48, -0.10], \( p = .003 \)).

Our **domain-specific physics** regions were left and right supramarginal gyrus (SMG). Both left and right SMG responded more to physical than psychological events (LSMG: B = 0.26 [0.10, 0.41], \( p = .001 \); RSMG: B = 0.50 [0.33, 0.67], \( p < .001 \)). Right SMG showed a marginally higher response to unexpected events regardless of domain, which did not survive correction for multiple comparisons (B = -0.16 [-0.33, 0.01], \( p = .061 \)). Left SMG showed a signature of domain-specific prediction error: an interaction between domain and event (B = -0.19, [-0.34, -0.03], \( p = .018 \), with greater responses for unexpected than expected physical events (p = 0.023), and no significant VOE effect for psychological events (p = 0.280).

Our **domain-specific psychology** regions were left and right superior temporal sulcus (STS). Both left and right STS responded more to psychological events (LSTS: B = -0.30 [-0.49, -0.11], \( p = .002 \); RSTS: B = -0.24 [-0.41, -0.08], \( p = .004 \)). However, neither left nor right STS responded more to unexpected than expected events (LSTS: B = -0.06 [-0.25, 0.13], \( p = .524 \); RSTS: B = -0.12 [-0.29, 0.04], \( p = .139 \)), and there was no interaction between domain and event in these regions (LSTS: B = 0.07 [-0.13, 0.26], \( p = .501 \); RSTS: B = -0.05 [-0.22, 0.11], \( p = .517 \)).

**Exploratory results: Psychology-Environment Events**

We then modeled the responses of all the above regions, to the psychological scenarios involving surprising environ-
ments; Fig 1C), as predicted by event only (model formula: \(\text{meanbeta} \sim \text{event} + (1|\text{subjectID})\)). The only region that responded more to unexpected than expected events in this category, in the first two runs (the same subset of the data as the confirmatory results) was the right STS (B = -0.319 [-0.54, -0.09], p = .006).

**Discussion**

A ball passing through a wall is visually unfamiliar, violates expectations about the physical world, and evokes curiosity about what caused that event to occur. Which of these processes likely explain adults’ judgements of, and perhaps infants’ longer looking to, these events? Here, we tested these hypotheses by scanning the brains of adults using fMRI, and studied the responses in regions that correspond to each hypothesis under investigation.

Early visual regions, V1 and MT, did not respond more to unexpected than expected VOE events, in either domain. This result suggests that the VOE response, in adults, is not explained by low-level visual prediction error that is propagated up to higher-level cortical regions. This result provides evidence against the claim that such events attract attention merely because they contain confounding low-level visual features (Sirois & Jackson, 2012) [Rivera et al., 1999; Heyes, 2014].

Multiple demand regions, APC and RFC, responded more to unexpected events from both domains: The voxels from this region that were maximally engaged when each individual participant performed a difficult versus easy working memory task, also responded more when they viewed surprising than expected psychological and physical events. This result suggests that processes similar to goal-driven attention are support the VOE response in adults.

Lastly, one region implicated in physical processing, the left supramarginal gyrus (LSMG), responded to unexpected physical events, more than to expected physical events or any psychological events. Thus, in adults, the VOE responses for physical events is supported in part by mental processes that are dedicated to physical reasoning in particular.

In summary, of the hypotheses under consideration, we found evidence that VOE stimuli from developmental psychology evoked both domain-general and domain-specific processes: (i) domain-general goal-driven attention, but not early visual processing and (ii) for physical events, domain-specific, distinctively physical, processing.

**Implications for studies of infants**

Our approach—studying the brains of adults in order to evaluate hypotheses about neural function and behavior in infants—has both strengths and limitations. Because of continuity in the the organization of large-scale cortical networks (Eyre et al., 2021) between infants and adults, as well as the cortical responses evoked by agents and objects (Lloyd-Fox et al., 2009; Wilcox et al., 2010) and domain-general prediction error (Werchan et al., 2016; Nakano et al., 2009), we believe that some of these findings have implications for infant cognition. However, infants are not adults, and thus any conclusions we draw about infants, given neuroimaging data from adults, are subject to some assumptions about continuity that may or may not be justified (Blumberg & Adolph, 2023; Liu, Raz, Kamps, Grossmann, & Saxe, 2023).

The current ssfROI approach is a strength of our design, but difficult to implement in infants. With independent localizer tasks, we were on firmer ground to study two separate mental processes (goal-directed attention on the one hand; physical perception on the other) in the same cortical territory (e.g. SMG and APC). Adults can tolerate longer scans and can be instructed to perform tasks in the scanner, and it is much harder to design and run localizer tasks in infants. But without localizers, reverse inference over functional activation alone is not straightforward. Thus, while it may be possible to study neural responses in infants using the insights from this research, significant challenges remain.

**Neural origins of rational action understanding**

The superior temporal sulcus (STS) showed a surprising pattern of results. In prior literature, the STS, especially the right STS, responds to many aspects of agents, including voices, facial expressions, direction of gaze, action intentions and outcomes, and social interactions (Deen et al., 2015; Gao, Scholl, & McCarthy, 2012; Isik et al., 2017; Saxe et al., 2004; Walbrin, Downing, & Koldewyn, 2018; Shultz, Lee, Pelphrey, & McCarthy, 2011; Pelphrey, Viola, & McCarthy, 2004). In our study, we defined this region in individual participants by selecting the voxels, within a parcel in the posterior portion of STS (see Figure 2A), that maximally responded to social over physical interaction in an independent task (DOTSloc; Fischer et al., 2016). This region did not respond more to unexpected psychological events containing an unexpected action (goal, efficiency; see Marsh, Mullett, Koprar, & Hamilton, 2014; Ramsey & Hamilton, 2010 for similar findings from prior work). However, in our exploratory analyses, right STS did respond more to unexpected physical outcomes generated by an agent. This surprising pattern merits further investigation.

More broadly, we speculate that the computations that support understanding of rational action rely on input from other domains of reasoning. For example, representing the efficiency of an action may require physical computations (Liu, 2022), e.g. representing the agent and obstacle as solid bodies, and the agent’s action as resisting gravity. Previous studies show that frontal regions implicated in action perception, and also parietal regions implicated in physical reasoning, both respond to deviations from goal-directed and efficient action (Marsh et al., 2014; Ramsey & Hamilton, 2010), including in infants (Southgate, Begus, Lloyd-Fox, di Gangi, & Hamilton, 2014). Although the focus of this research was on VOE, we look forward to using these data to study the interplay between intuitive physics and psychology.
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References


